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10 CITY OF OAKLAND, et al.

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UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

UGANDA KNAPPS,

Case No. C-05-2935-MEJ

Plaintiff,

**STIPULATED PROTECTIVE
ORDER**

vs.

CITY OF OAKLAND; FRANCISCO ROJAS,
MICHAEL CARDOZA, and JAMES KELLY, individually
and/or acting in their capacities as law enforcement
officers for the City of Oakland,
DOES 1-100, inclusive,

Defendants.

Plaintiff, UGANDA KNAPPS ("Plaintiff", individually and on behalf of the LAW
OFFICES OF JAMES B. CHANIN and DEFENDANTS CITY OF OAKLAND, FRANCISCO
ROJAS, MICHAEL CARDOZA, and JAMES KELLY, by and through their attorneys, the
OFFICE OF THE CITY ATTORNEY OF OAKLAND, hereby stipulate to the following
protective order:

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1 1. DEFINITIONS

2 1.1 Party: any party to this action, including all of its officers, directors,
3 employees, consultants, retained experts, and outside counsel (and their support staff).

4 1.2 Disclosure or Discovery Material: all items or information, regardless of
5 the medium or manner generated, stored, or maintained (including, among other things;

6 1.3 “Confidential” Information or Items: information (regardless of how
7 generated, stored or maintained) or tangible things qualify for protection under standards
8 developed under F.R.Civ. P. 26(c). This material includes, but is not limited to:

9 a) Copies of certain personnel files for FRANCISCO ROJAS,
10 MICHAEL CARDOZA, and JAMES KELLY for a period covering the dates of their
11 employment with the Oakland Police Department through and including December 31,
12 2004.

13 b) Copies of certain Internal Affairs files pertaining to complaints
14 regarding performance of duty and excessive force for FRANCISCO ROJAS, MICHAEL
15 CARDOZA, and JAMES KELLY for a period August 10, 1999 through December 31, 2004.

16 c) Any Internal Affairs files pertaining to the incident which is
17 alleged in the complaint on file in this action.

18 1.4 “Highly Confidential-Attorneys’ Eyes Only” Information or Items:
19 extremely sensitive “Confidential Information or Items” whose disclosure to another Party
20 or non-party would create a substantial risk of serious injury that could not be avoided by
21 less restrictive means.

22 1.5 Receiving Party: a Party that receives Disclosure or Discovery
23 Material from a Producing Party.

24 1.6 Producing Party: a Party or non-party that produces Disclosure or
25 Discovery Material in this action.

26 1.7 Designating Party: a Party or non-party that designates information or

1 items that it produces in disclosures or in responses to discovery as "Confidential" or
2 "Highly Confidential-Attorneys Eyes Only."

3 1.8 Protected Material: any Disclosure or Discovery Material that is
4 designated as "Confidential" or as "Highly Confidential-Attorneys' Eyes Only."

5 1.9 Outside Counsel: attorneys who are not employees of a Party but
6 who are retained to represent or advise a Party in this action.

7 1.10 House Counsel: attorneys who are employees of a Party.

8 1.11 Counsel (without qualifier): Outside Counsel and House Counsel (as
9 well as their support staffs).

10 1.12 Expert: a person with specialized knowledge or experience in a
11 matter pertinent to the litigation who has been retained by a Party or its counsel to serve
12 as an expert witness or as an consultant in this action and who is not a past or a current
13 employee of a Party and who, at the time of retention, is not anticipated to become an
14 employee of a Party. This definition includes a professional jury or trial consultant retained
15 in connection with this litigation.

16 1.13 Professional Vendors: person or entities that provide litigation support
17 services (e.g., photocopying; videotaping; translating; preparing exhibits or
18 demonstrations; organizing, storing, retrieving data in any form or medium; etc.) and their
19 employees and subcontractors.

20 2. SCOPE

21 The protections conferred by this Stipulation and Order cover not only
22 Protected Material (as defined above), but also any information copied or extracted
23 therefrom, as well as all copies, excerpts, summaries, or compilations thereof, plus
24 testimony, conversations, or presentations by parties or counsel to or in court or in other
25 settings that might reveal Protected Material.

26 3. DURATION

1 Even after the termination of this litigation, the confidentiality obligations
2 imposed by this Order shall remain in effect until a Designating Party agrees otherwise in
3 writing or court order otherwise directs.

4 4. DESIGNATING PROTECTED MATERIAL

5 4.1 F.R.Civ. P. 26(c). The information sought to be protected must be
6 properly qualified for protection under F.R.Civ. P. 26(c). Counsel shall not designate any
7 discovery material "CONFIDENTIAL" without first making a good faith determination that
8 protection is warranted.

9 4.2 Manner and Timing of Designations. Except as otherwise provided in
10 this Order (see, e.g., second paragraph of section 4.2(a), below), or as otherwise
11 stipulated or ordered, material that qualified for protection under the Order must be clearly
12 so designated before the material is disclosed or produced.

13 Designation in conformity with this Order requires:

14 (a) for information in documentary form (apart from transcripts of depositions
15 or other pretrial or trial proceedings), that the Producing Party affix the legend
16 "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL-ATTORNEYS' EYES ONLY" at the top of
17 each page that contains protected material.

18 A Party or non-party that makes original documents or materials available for
19 inspection need not designate them for protection until after the inspecting Party has
20 indicated which material it would like copied and produced. During the inspection and
21 before the designation, all of the material made available for inspection shall be deemed
22 "HIGHLY CONFIDENTIAL—ATTORNEYS' EYES ONLY." After the inspecting Party has
23 identified the documents it wants copied and produced, the Producing Party must
24 determine which documents, or portions thereof, qualify for protection under this Order,
25 then, before producing the specified documents, the Producing Party must affix the
26 appropriate legend ("CONFIDENTIAL" or "HIGHLY CONFIDENTIAL-ATTORNEYS' EYES

1 ONLY") at the top of each page that contains Protected Material. If only a portion or
 2 portions of the material on a page qualifies for protection, the Producing Party also must
 3 clearly identify the protected portion(s) (e.g., by making appropriate markings in the
 4 margins) and must specify, for each portion, the level of protection being asserted (either
 5 "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL—ATTORNEYS' EYES ONLY").

6 (b) for testimony given in deposition or in other pretrial or trial proceedings,
 7 that the Party or non-party offering or sponsoring the testimony identify on the record,
 8 before the close of the deposition, hearing, or other proceeding, all protected testimony,
 9 and further specify any portions of the testimony that qualify as "HIGHLY
 10 CONFIDENTIAL—ATTORNEYS' ONLY." When it is impractical to identify separately each
 11 portion of testimony that is entitled to protection, the Party or non-party that sponsors,
 12 offers, or gives the testimony may invoke on the record (before the deposition or
 13 proceeding is concluded) a right to have up to 20 days to identify the specify the level of
 14 protection being asserted ("CONFIDENTIAL" or "HIGHLY CONFIDENTIAL—
 15 ATTORNEYS' EYES ONLY"). Only those portions of the testimony that are appropriately
 16 designated for protection within the 20 days shall be covered by the provisions of this
 17 Stipulated Protective Order.

18 Transcript pages containing Protected Material must be separately bound by
 19 the court reporter, who must affix to the top of each such page the legend
 20 "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL—ATTORNEYS' EYES ONLY," as
 21 instructed by the Party or non-party offering or sponsoring the witness or presenting the
 22 testimony.

23 (c) for information produced in some form other than documentary, and for
 24 any other tangible items, that the Producing Party affix in a prominent place on the exterior
 25 of the container or containers in which the information or item is stored the legend
 26 "CONFIDENTIAL" or "HIGHLY CONFIDENTIAL—ATTORNEYS' EYES ONLY."

1 4.3. Inadvertent Failures to Designate. If timely corrected, an inadvertent
2 failure to designate qualified information or items as “Confidential” or “Highly Confidential—
3 Attorneys’ Eyes Only” does not, standing alone, waive the Designating Party’s right to
4 secure protection under this Order for such material. If material is appropriately designated
5 as “Confidential” or “Highly Confidential—Attorneys’ Eyes Only” after the material was
6 initially produced, the Receiving Party, on timely notification of the designation, must make
7 reasonable efforts to assure that the material is treated in accordance with the provisions of
8 the Order.

9 5. CHALLENGING CONFIDENTIALITY DESIGNATIONS

10 5.1 Timing of Challenges. Unless a proper challenge to a Designating
11 Party’s confidentially designation is necessary to avoid foreseeable substantial unfairness,
12 unnecessary economic burden, or a later significant disruption or delay of the litigation, a
13 Party does not waive its right to challenge a confidentiality designation by electing not to
14 mount a challenge promptly after the original designation is disclosed.

15 5.2 Meet and Confer. A Party that elects to initiate a challenge to a
16 Designating Party’s confidentiality designation must do so in good faith and must begin the
17 process by conferring directly (in voice to voice dialogue; other forms of communication are
18 not sufficient) with counsel for the Designating Party. In conferring, the challenging Party
19 must explain the basis for its belief that the confidentiality designation was not proper and
20 must give the Designating Party an opportunity to review the designated material, to
21 reconsider the circumstances, and, if no change in designation is offered, to explain the
22 basis for the chose designation. A challenging Party may proceed to the next stage of the
23 challenge process only if it has engaged in this meet and confer process first.

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26 5.3 Judicial Intervention. A Party that elects to press a challenge to a

1 confidentiality designation after considering the justification offered by the Designating
2 Party may file and serve a motion under Civil Rule 7 (and in compliance with Civil Local
3 Rule 79-5, if applicable) that identifies the challenged material and sets forth in detail the
4 basis for the challenge. Each such motion must be accompanied by a competent
5 declaration that affirms that the movant has complied with the meet and confer
6 requirements imposed in the preceding paragraph and that sets forth with specificity the
7 justification for the confidentiality designation that was given by the Designating Party in the
8 meet and confer dialogue.

9 The burden of persuasion in any such challenge proceeding shall be on the
10 Designating Party. Until the court rules on the challenge, all parties shall continue to afford
11 the material in question the level of protection to which it is entitled under the Producing
12 Party's designation.

13 6. ACCESS TO AND USE OF PROTECTED MATERIAL

14 6.1 Basic Principles. A Receiving Party may use Protected Material that is
15 disclosed or produced by another Party or by a non-party in connection with this case only
16 for prosecuting, defending, or attempting to settle this litigation. Such Protected material
17 may be disclosed only to the categories of persons and under the conditions described in
18 this Order. When the litigation has terminated, a Receiving Party must comply with the
19 provisions of section 10, below (FINAL DISPOSITION).

20 Protected Material must be stored and maintained by a Receiving Party at a
21 location and in a secure manner that ensures that access is limited to the persons
22 authorized under this Order.

23 6.2 Disclosure of "CONFIDENTIAL: Information or Items. Unless
24 otherwise ordered by the court or permitted in writing by the Designating Party, a Receiving
25 Party may disclose any information or item designated CONFIDENTIAL only to:

26 (a) employees of the Receiving Party to whom disclosure is reasonably

1 necessary for this litigation and who have signed the "Agreement to Be Bound by
2 Protective Order" (Exhibit A);
3 (b) experts (as defined in this Order) of the Receiving Party to whom
4 disclosure is reasonably necessary for this litigation and who have signed the "Agreement
5 to Be Bound by Protective Order" (Exhibit A);
6 (c) the Court and its personnel;
7 (d) court reporters, their staffs, and professional vendors to whom disclosure
8 is reasonably necessary for this litigation and who have signed the "Agreement to Be
9 Bound by Protective Order" (Exhibit A);
10 (e) during their deposition, witnesses in the action to whom disclosure is
11 reasonably necessary and who have signed the "Agreement to Be Bound by Protective
12 Order" (Exhibit A). Pages of transcribed deposition testimony or exhibits to depositions that
13 reveal Protected Material must be separately bound by the court reporter and may not be
14 disclosed to anyone except as permitted under this Stipulated Protective Order.
15 (f) the author the document or the original source of the information.

16 6.3 Disclosure of "HIGHLY CONFIDENTIAL—ATTORNEYS' EYES ONLY"
17 Information or Items. Unless otherwise ordered by the court or permitted in writing by the
18 Designating Party, Receiving Party may disclose any information or item designated
19 "HIGHLY CONFIDENTIAL—ATTORNEYS' EYES ONLY" only to:
20 (a) Experts (as defined in this Order) (1) to whom disclosure is reasonably
21 necessary for this litigation, who have signed the "Agreement to Be Bound by Protective
22 Order" (Exhibit A);
23 (b) the Court and its personnel;
24 (c) court reporters, their staffs, and professional vendors to whom disclosure
25 is reasonably necessary for this litigation and who have signed the "Agreement to Be
26 Bound by Protective Order" (Exhibit A); and

1 (d) the author of the document or the original source of the information.

2 **7. PROTECTED MATERIAL SUBPOENAED OR ORDERED**
3 **PRODUCED IN OTHER LITIGATION**

4 If a Receiving Party is served with a subpoena or an order issued in other
5 litigation that would compel disclosure of any information or items designated in this action
6 as "CONFIDENTIAL" or HIGHLY CONFIDENTIAL—ATTORNEYS' EYES ONLY," the
7 Receiving Party must so notify the Designating Party, in writing (by fax, if possible)
8 immediately and in no event more than three court days after receiving the subpoena or
9 order. Such notification must include a copy of the subpoena or court order.

10 The Receiving Party also must immediately inform in writing the Party who
11 caused the subpoena or order to issue in the other litigation that some or all the material
12 covered by the subpoena or order is the subject of this Protective Order. In addition, the
13 Receiving Party must deliver a copy of this Stipulated Protective Order promptly to the
14 Party in the other action that caused the subpoena or order to issue.

15 The purpose of imposing these duties is to alert the interested parties to the
16 existence of this Protective Order and to afford the Designating Party in this case an
17 opportunity to try to protect its confidentiality interests in the court from which the
18 subpoena or order issued. The Designating Party shall bear the burdens and the
19 expenses of seeking protection in that court of its confidential material—and nothing in
20 these provisions should be construed as authorizing or encouraging a Receiving Party in
21 this action to disobey a lawful directive from another court.

22 **8. UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL**

23 If a Receiving Party learns that, by inadvertence or otherwise, it has
24 disclosed Protected Material to any person or in any circumstance not authorized under
25 this Stipulated Protective Order, the Receiving Party must immediately (a) notify in writing
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1 the Designating Party of the unauthorized disclosures, (b) use its best efforts to retrieve all
2 copies of the Protected Material, (c) inform the person or persons to whom unauthorized
3 disclosures were made of all of the terms of this Order, and (d) request such person or
4 persons to execute the "Acknowledgment and Agreement to Be Bound" that is attached
5 hereto as Exhibit A.

6 9. FILING PROTECTED MATERIAL. Without written permission from the
7 Designating Party or a court order secured after appropriate notice to all interested
8 persons, a Party may not in the public record in this action any Protected material. A
9 Party that seeks to file under seal any Protected Material must comply with Civil Local
10 Rule 79-5. In addition to placing the documents in a sealed envelope with instructions that
11 the envelope is not to be opened absent further order of the court, the envelope should be
12 labeled to identify title of the case, the case number, and the title of the document.

13 10. FINAL DISPOSITION. Unless otherwise ordered or agreed in writing by
14 the Producing Party, within sixty days after the final termination of this action, each
15 Receiving Party must return all Protected Material to the Producing Party, as used in this
16 subdivision, "all Protected Material" includes all copies, abstracts compilations, summaries
17 or any other form of reproducing or capturing any of the Protected Material. With
18 permission in writing from the Designating Party, the Receiving Party may destroy some or
19 all of the Protected Material instead of returning it. Whether the Protected Material is
20 returned or destroyed, the Receiving Party must submit a written certification to the
21 Producing Party (and, if not the same person or entity, to the Designating Party) by the
22 sixty day deadline that identifies (by category, where appropriate) all the Protected
23 Material that was returned or destroyed and that affirms that the Receiving Party has not
24 retained any copies, abstracts, compilations, summaries or other forms of reproducing or
25 capturing any of the Protected Material. Notwithstanding this provision, Counsel are
26 entitled to retain an archival copy of all pleadings, motion papers, transcripts, legal

1 memoranda, correspondence or attorney work product, even if such materials contain
2 Protected Material. Any such archival copies that contain or constitute Protected Material
3 remain subject to this Protective Order as set forth in Section 4 (DURATION), above.

4 **11. MISCELLANEOUS**

5 **Right to Further Relief.** Nothing in this Order abridges the right of any
6 person to seek its modification by the Court in the future.

7 **12. JURISDICTION.** The Court shall retain jurisdiction over any matter
8 covered by this Stipulation and Order for 24 months after the final termination of this
9 action.

10 **IT IS SO STIPULATED.**

11 DATED: February 8, 2006 LAW OFFICES OF JAMES B. CHANIN

12 By://s//JAMES B. CHANIN
13 JAMES B. CHANIN

14 Attorneys for Plaintiff
15 Uganda Knapps

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17 DATED: February 8, 2006 OFFICE OF THE CITY ATTORNEY

18
19 By://s//ARLENE M. ROSEN
20 ARLENE M. ROSEN

21 Attorneys for Defendants
22 City of Oakland, Francisco Rojas
23 Michael Cardoza and James Kelly

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25 **PURSUANT TO STIPULATION, IT IS SO ORDERED.**

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Pursuant to Stipulation, IT IS SO ORDERED.

1 DATED: February 17, 2006
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1 EXHIBIT A

2 ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND

3 I, _____ [print or type full name], of
4 _____ [print or type full address], declare under penalty
5 of perjury that I have read in its entirety and understand the Stipulated Protective Order
6 that was issued by the United States Court for the Northern District of California on
7 _____ [date] in the case of Uganda Knapps v. City of Oakland, et al.
8 Case No. C-05-2935-MEJ. I agree to comply with and be bound by all the terms of this
9 Stipulated Protective Order and I understand and acknowledge that failure to so comply
10 could expose me to sanctions and punishment in the nature of contempt. I solemnly
11 promise that I will not disclose in any manner any information or item that is subject to this
12 Stipulated Protective Order to any person or entity except in strict compliance with the
13 provisions of this Order.

14 I further agree to submit to the jurisdiction of the United States District for the
15 Northern District of California for the purpose of enforcing the terms of this Stipulated
16 Protective Order, even if such enforcement proceedings occur after termination of this
17 action.

18 I hereby appoint _____ [print or type full
19 name] of _____ [print or type full address
20 and telephone number] as my California agent for service of process in connection with
21 this action or any proceedings related to enforcement of this Stipulated Protective Order.

1 Date: _____

2 City and State where sworn and signed: _____

3 Printed name: _____
4 [printed name]

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6 Signature: _____
7 [signature]

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